



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Novosel et al. GROUP ART UNIT: 2641
SERIAL NO.: 09/592,461 ATTY. DOCKET NO.: RRE-P-08-00
FILING DATE: June 9, 2000 EXAMINER: T. Smits
INVENTION: "SOUND RECORDING AND REPRODUCTION SYSTEM FOR MODE
TRAIN USING INTEGRATED DIGITAL COMMAND CONTROL"

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TRANSMITTAL LETTER

Asst. Commissioner for Patents
Washington, D.C. 20231

SIR:

Enclosed herewith is Communication to the Patent Office in response to a telephone call from Examiner Smits of the U.S. Patent Office on December 27, 2000.

Please enter the above-referenced Communication and acknowledge receipt thereof. No fee is due for entry of this communication.

Respectfully submitted,

(Reg. No. 35,018)

Brian M. Mattson
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(773) 772-6009
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ATTORNEY FOR APPLICANTS

CERTIFICATE OF MAILING

I hereby certify that this communication to the Patent Office is being filed in an envelope addressed to Asst. Commissioner for Patents, Washington, D.C. 20231 on January 04, 2001.

Brian M. Mattson



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMMUNICATION TO THE PATENT OFFICE

APPLICANTS: Novosel et al. GROUP ART UNIT: 2641
SERIAL NO.: 09/592,461 ATTY. DOCKET NO.: RRE-P-00-001
FILING DATE: June 9, 2000 EXAMINER: T. Smits
INVENTION: "SOUND RECORDING AND REPRODUCTION SYSTEM FOR MODEL
TRAIN USING INTEGRATED DIGITAL COMMAND CONTROL"

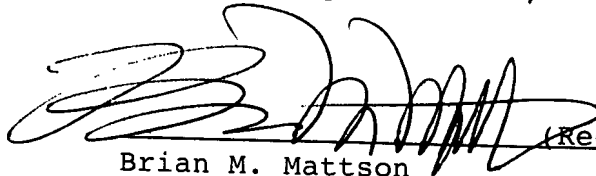
Asst. Commissioner for Patents
Washington, D.C. 20231

SIR:

Pursuant to a telephone call on December 27, 2000 from Examiner Smits of the U.S. Patent Office and in response to the Office Action dated December 7, 2000, Applicants submit that an Information Disclosure Statement (IDS) was filed in the U.S. Patent Office for the above-identified application on October 4, 2000. A return postcard stamped by the U.S. Patent and Trademark Office was received and dated October 16, 2000 indicating receipt of the IDS. The Office Action received from the Patent Office was dated December 7, 2000. In the telephone message of December 27, 2000, Examiner Smits indicated that the IDS crossed in the mail with the first Office Action; however, the IDS was filed with the U.S.

Patent Office nearly two months prior to the date of the Office Action. Accordingly, Applicants respectfully request that the submitted IDS be considered in the above-identified application and that a supplemental Office Action be sent indicating consideration of the references filed in the IDS. Notice to that effect is requested.

Respectfully submitted,



(Reg. No. 35,018)

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Brian M. Mattson



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

FILE COPY



Bib Data Sheet

SERIAL NUMBER 09/592,461	FILING DATE 06/09/2000 RULE -	CLASS 704	GROUP ART UNIT 2741 2655	ATTORNEY DOCKET NO. RRE-P-00-001
APPLICANTS MICHAEL J. NOVOSEL, CHICAGO, IL ; KELLY R. BOLES, ROCHESTER, MN ; VINCENT S. FLESZEWSKI, CROWN POINT, IN ;				
** CONTINUING DATA ***** THIS APPLICATION IS A REI OF 08/851,200 05/05/1997 PAT 5,855,004 WHICH IS A CIP OF 08/289,257 08/11/1994 ABN				
** FOREIGN APPLICATIONS ***** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 07/19/2000				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met Verified and Acknowledged <i>[Signature]</i> Examiner's Signature Initials		STATE OR COUNTRY IL	SHEETS DRAWING 31	TOTAL CLAIMS 50
INDEPENDENT CLAIMS 2				
ADDRESS 23560				
TITLE Sound recording and reproduction system for model train using integrated digital command control				
FILING FEE RECEIVED 654	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR CORRECTED FILING RECEIPT

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#6 17-01
FILE COPY

APPLICANT: Novosel et al. JAN 9 2001 ATTY. DOCKET NO.: RRE-P-00-001
SERIAL NO.: 09/592,461 Technology Center 2600 GROUP ART UNIT: 2741
FILING DATE: June 6, 2000 EXAMINER: Not Assigned
INVENTION: "Sound recording and reproduction system for model train using integrated digital command control"

Asst. Commissioner of Patents
Washington, D.C. 20231

SIR:

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a corrected Filing Receipt is respectfully requested.

2. There is an error in that the following data is

☒ incorrectly entered and/or

☒ omitted:

☐ Inventor(s): The applicants should be as follows:

Michael J. Novosel, Chicago, IL

Kelly R. Boles, Rochester, MN

Vincent S. Fleszewski, Crown Point, IN

☐ Applicant(s) address

☐ Title

☐ Filing Date

☐ Serial Number

☐ Foreign/PCT application(s) reference:

☒ Other: The number of drawings is INCORRECT. The corrected number of drawings should be 20.

A. ☐ The correction is not due to any error by applicant and no fee is due.

B. ☐ The correction is due to applicant's error and the fee therefor under 37 CFR 1.19(h) is paid as follows:

☐ Enclosed is a check for \$25.00, the requisite fee.

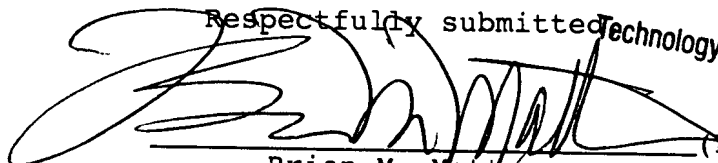
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12/14/2000
OPE/JCWS

[] The Commissioner is hereby authorized to charge the \$25.00 fee and any additional fees which may be required to Deposit Account No. 50-0595. A duplicate **RECEIVED** sheet is attached for this purpose.

JAN 09 2001

Respectfully submitted

Technology Center 2600



Brian M. Mattson

(Reg. No. 35,018)

Patents+TMS

A Professional Corporation

1914 N. Milwaukee Avenue

Chicago, Illinois 60647

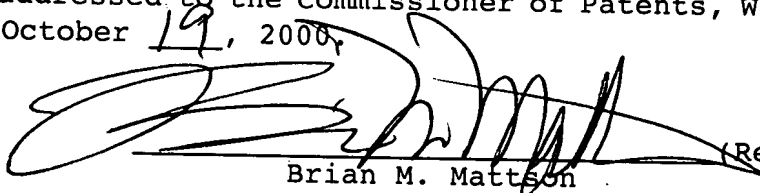
Attorney for Applicant

Telephone: (773) 772-6009



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner of Patents, Washington, D.C. 20231 on October 19, 2000.



Brian M. Mattson

(Reg. No. 35,018)

FILING RECEIPT



OC00000005252734

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/592,461	06/09/2000	2741	654	RRE-P-00-001	31	50	2

23560
PATENTS & TMS, P.C.
1914 NORTH MILWAUKEE AVENUE
CHICAGO, IL 60647

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JAN 09 2001

Technology Center 2600

Date Mailed: 07/19/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Vincent S. Fleszewski, Crown Point, IN ;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A REI OF 08/851,200 05/05/1997 PAT 5,855,004
WHICH IS A CIP OF 08/289,257 08/11/1994 ABN

Foreign Applications

If Required, Foreign Filing License Granted 07/19/2000

** SMALL ENTITY **

Title

Sound recording and reproduction system for model train using integrated digital command control

Preliminary Class

704

Data entry by : DAY, MARIAN

Team : OIPE

Date: 07/19/2000





**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231